

#3

FORM PTO-1449 (Modified)			Attorney Docket No.: 020460-000910US		Application No.: 09/783,253	
LIST OF PATENTS AND PUBLICATIONS FOR			Applicant: SIRHAN, MOTASIM ET AL.			
APPLICANT'S INFORMATION DISCLOSURE			Filing Date: February 13, 2001		Group: 3738	
STATEMENT (Use several sheets if necessary)						
Reference Designation			U.S. PATENT DOCUMENTS			Page 1
Examiner Initial	Document No.	Date	Name	Class	Sub-class	Filing Date (If Appropriate)
___ AA						
___ AB						
___ AC						
___ AD						
___ AE						
___ AF						
___ AG						
___ AH						
___ AI						
___ AJ						
___ AK						
___ AL						
FOREIGN PATENT DOCUMENTS						
	Document No.	Date	Country	Class	Sub-class	Translation (Yes/No)
<u>78</u> AM	WO 01/41678	14 JUNE 2001	PCT	A61F	2/06	YES
___ AN						
___ AO						
___ AP						
___ AQ						
___ AR						
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)						
___ AS						
___ AT						
___ AU						
___ AV						
___ AW						
EXAMINER <u>H-72</u>			DATE CONSIDERED <u>01/14/2003</u>			

RECEIVED  
AUG 03 2001  
TECHNOLOGY CENTER R3700

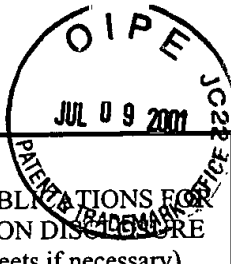
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



Group: 3738

Page 1

01/11/2003



FORM PTO-1449 (Modified)			Attorney Docket No.: 20460-000910US	Application No.: 09/783,253	
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)			Applicant:		
			Filing Date: February 13, 2001		Group: 3738
<input checked="" type="checkbox"/> BK	5,569,463	10/1996	Helmus et al.		
<input type="checkbox"/> BL	5,591,227	01/1997	Dinh et al.		
<input type="checkbox"/> BM	5,609,629	03/1997	Fearnot et al.		
<input type="checkbox"/> BN	5,624,411	04/1997	Tuch		
<input type="checkbox"/> BO	5,637,113	06/1997	Tartaglia et la.		
<input type="checkbox"/> BP	5,649,977	07/1997	Campbell		
<input type="checkbox"/> BQ	5,656,297	08/1997	Bernstein et al.		
<input type="checkbox"/> BR	5,679,400	10/1997	Tuch		
<input type="checkbox"/> BS	5,700,286	12/1997	Tartaglia et al.		
<input type="checkbox"/> BT	5,725,494	03/1998	Briskin		
<input type="checkbox"/> BU	5,728,062	03/1998	Briskin		
<input type="checkbox"/> BV	5,735,811	04/1998	Briskin		
<input type="checkbox"/> BW	5,769,883	06/1998	Buscemi et al.		
<input type="checkbox"/> BX	5,824,049	10/1998	Ragheb et al.		
<input type="checkbox"/> BY	5,837,008	11/1998	Berg et al.		
<input type="checkbox"/> BZ	5,843,172	12/1998	Yan		
<input type="checkbox"/> CA	5,851,231	12/1998	Wolff et al.		
<input type="checkbox"/> CB	5,876,452	03/1999	Athanasidou et al.		
<input type="checkbox"/> CC	5,879,808	03/1999	Wary et al.		
<input type="checkbox"/> CD	5,891,108	04/1999	Leone et al.		
<input type="checkbox"/> CE	5,893,840	04/1999	Hull et al.		
<input type="checkbox"/> CF	5,928,145	07/1999	Ocali et al.		
<input type="checkbox"/> CG	5,951,586	09/1999	Berg et al.		
<input type="checkbox"/> CH	5,968,092	10/1999	Buscemi et al.		
<input type="checkbox"/> CI	5,972,027	10/1999	Johnson		
<input type="checkbox"/> CJ	5,980,551	11/1999	Summers et al.		
<input type="checkbox"/> CK	5,980,566	11/1999	Alt et al.		
<input type="checkbox"/> CL	5,997,468	12/1999	Wolff et al.		
<input type="checkbox"/> CM	6,031,375	02/2000	Atalar et al.		
<input type="checkbox"/> CN	6,051,276	04/2000	Wary et al.		
<input type="checkbox"/> CO	6,063,101	05/2000	Jacobsen et al.		
<input type="checkbox"/> CP	6,071,305	06/2000	Brown et al.		
<input type="checkbox"/> CQ	6,096,070	08/2000	Ragheb et al.		
<input type="checkbox"/> CR	6,099,561	08/2000	Alt		
<input type="checkbox"/> CS	6,197,013	03/2001	Reed et al.		
<input type="checkbox"/> CT	6,203,536	03/2001	Berg et al.		

01/11/2003

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



#9

PTO/SB/08B (10-01)

Approved for use through 10/31/2002. OMB 0651-0031  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

Substitute for form 1449B/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 3 of

**Complete if Known**

Application Number	09/783,253
Filing Date	February 13, 2001
First Named Inventor	Sirhan, Motasim, et. al.
Art Unit	3738
Examiner Name	Unassigned
Attorney Docket Number	020460-000910US

**U.S. PATENT DOCUMENTS**

Examiner	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number Kind Code <sup>2</sup> (if known)			
AK	AA	US-6,054,122	04-25-200	MacPhee et al.	

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
							<input type="checkbox"/>
							<input type="checkbox"/>
							<input type="checkbox"/>

**OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>

PA 3251877 v1

RECEIVED

OCT 03 2002

TECHNOLOGY CENTER R3700

Examiner  
SignatureDate  
Considered

01/11/2003

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

PA 3251877 v1



#10

PTO/SB/08 (10-01)

Approved for use through 10/31/2002. OMB 0651-0031  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

Substitute for form 1449B/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1 of 1

**Complete if Known**

Application Number	09/783,253
Filing Date	February 13, 2001
First Named Inventor	Sirhan, Motasim, et. al.
Art Unit	3738
Examiner Name	Hieu Phan
Attorney Docket Number	020460-000910US

**U.S. PATENT DOCUMENTS**

Examiner	Cite No. <sup>1</sup>	Document Number Number Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	0001	US-5,512,055	04-30-1996	Domb et al.	<del>RECEIVED</del> OCT 30 2002 TECHNOLOGY CENTER R3710

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
						<input type="checkbox"/>
						<input type="checkbox"/>
						<input type="checkbox"/>
						<input type="checkbox"/>

**OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>

PA 3259036 v1

Examiner  
SignatureDate  
Considered

04/11/2003

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.  
PA 3259036 v1

Substitute for form 1449A/PTO

## Complete if Known

INFORMATION DISCLOSURE  
STATEMENT BY APPLICANTJUN 25 2002  
(use as many sheets as necessary)

Sheet 1 of

Application Number	09/783,253
Filing Date	February 13, 2001
First Named Inventor	Sirhan, Motasim
Art Unit	3738
Examiner Name	Unassigned
Attorney Docket Number	020460-000910US

## U.S. PATENT DOCUMENTS

Examiner	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
		Number	Kind Code <sup>2</sup> (if known)				
TECHNOLOGY CENTER R3700	A	2002/0007213		01-17-2002	FALOTICO et al.		
	B	2002/0007214		01-17-2002	FALOTICO		
	C	2002/0007215		01-17-2002	FALOTICO et al.		
	D	2002/0005206		01-17-2002	FALOTICO et al.		
	E	2002/0016625		02-07-2002	FALOTICO et al.		
	F	2001/0027340		10-04-2001	WRIGHT et al.		
	G	2001/0029351		10-11-2001	FALOTICO et al.		
	H	2002/0032414		03-14-2002	RAGHEB et al.		
	I	4,810,524		03-07-1989	NAKAYAMA et al.		
	J	4,921,723		05-01-1990	NICHOLS et al.		
	K	5,283,257		02-01-1994	GREGORY et al.		
	L	5,355,832		10-18-1994	LOH et al.		
	M	5,447,799		09-05-1995	LOH et al.		
	N	5,516,781		05-14-1996	MORRIS et al.		
	O	5,563,146		10-08-1996	MORRIS et al.		
	P	5,646,160		07-08-1997	MORRIS et al.		
	Q	5,665,728		09-09-1997	MORRIS et al.		
	R	5,958,510		09-28-1999	SIVARAMAKRISHNAM et al.		
	S	6,086,952		07-11-2000	LANG et al.		
	T	6,153,252		11-28-2000	HOSSAINY et al.		
	U	6,273,913		08-14-2001	WRIGHT et al.		
	V	6,299,604		08-09-2001	RAGHEB et al.		

## FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
JP	W	EP 063 365	B1		09-04-1985	KENJI		
	X	EP 184 162	B1		04-27-1994	OKUHARA		

Examiner  
SignatureDate  
Considered

01/11/2003

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

PA 3229250 v1

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449B/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

**JUN 25 2002**

(use as many sheets as necessary)

**Complete if Known**

<b>Application Number</b>	09/783,253
<b>Filing Date</b>	February 13, 2001
<b>First Named Inventor</b>	Sirhan, Motasim
<b>Art Unit</b>	3738
<b>Examiner Name</b>	Unassigned
<b>Attorney Docket Number</b>	020460-000910US

#### OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

[illegible]

PA 3229250 v1

Examiner  
Signature

Date  
Considered

01/11/2003

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

**Burden Hour Statement:** This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.**

PA 3229250 v1